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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: PAI-PARANJAPE, ET AL. )  
Serial No.: 10/065,099 ) Group Art Unit:  
Filed: SEPTEMBER 17, 2002 )  
For: COLORED OPTICAL DISCS AND ) Before the Examiner:  
                  METHODS FOR MAKING THE SAME )

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty to disclose, applicants submit herewith copies of publications of which those designated in 37 CFR § 1.56 are aware which the Examiner may consider material. Moreover, applicants direct the Examiner's attention to parent application USSN 09/683,346, from which this application claims priority, wherein further prior art is of record. Pursuant to 37 CFR § 1.98 (d) copies of previously submitted or cited publications are not included. All of the publications being disclosed are listed on attached form PTO-1449.

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on

September 18, 2002  
(Date of Deposit)

Sonia Mancini

(Name of Person Mailing Paper)

Signature

Date

*Sonia Mancini* 9/18/02



Consideration of this Information Disclosure Statement is respectfully requested.

Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is required.

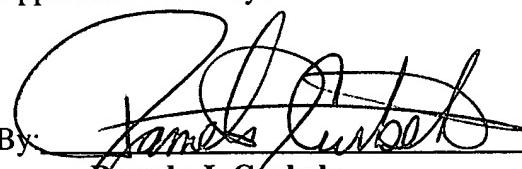
However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicant's attorneys authorize that such fee be charged to Deposit Account No. 07-0862.

Respectfully submitted,

PAI-PARANJAPE, ET AL.

CANTOR COLBURN LLP  
Applicant's Attorneys

By:



Pamela J. Curbelo

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## INFORMATION DISCLOSURE CITATION

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Pai-Paranjape, et al.

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	TRADEMARK OFFICE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2,139,155	12/06/38	GERNANDT			
		3,423,483	01/21/69	ANYOS, ET AL.			
		3,635,895	01/18/72	KRAMER			
		4,001,184	01/04/77	SCOTT			
		4,217,438	08/12/80	BRUNELLE, ET AL.			
		4,363,844	12/14/82	LEWIS, ET AL.			
		4,521,483	01/04/85	SASAKI, ET AL.			
		4,542,957	09/24/85	ISHIWARI, ET AL.			
		4,746,711	05/24/88	SERINI, ET AL.			
		4,891,800	01/02/90	SUGAYA			
		4,919,514	04/24/90	EBERT, ET AL.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 438 225 B1	1996/10/23	EUROPEAN				
	EP 0 113 870 A1	1984/07/25	EUROPEAN				
	EP 0 311 512 A2	1989/04/12	EUROPEAN				
	WO 99/24527	1999/05/20	INTERNATIONAL				
	JP 8279188	1996/10/22	JAPAN				✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Test Report, Japan Food Research Laboratories, "Qualitative test of fluorescent substances", March 13, 1996, No. 49022440, 2 pages

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EXAMINER INITIAL	TRADE MARK OFFICE INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
1		Re 36,902	10/03/00	Eckel, et al.			
2		3,152,098	10/06/64	Snedeker			
3		3,507,951	04/21/70	Morecroft, et al.			
4		3,673,146	06/27/72	Factor			
5		3,689,768	09/05/72	Sato, et al.			
6		4,023,185	05/10/77	Bloom, et al.			
7		4,049,614	09/20/77	Baron, et al.			
8		4,092,288	05/30/78	Calkins, et al.			
9		4,097,895	06/27/78	Spong			
10		4,101,907	07/18/78	Bell, et al.			
11		4,143,026	03/06/79	Panek, et al.			

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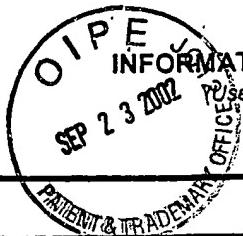
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12		JP 63195838	12/08/1988	Japan (Abstract)			✓	
13		JP 02033742	02/02/1990	Japan (Abstract)			✓	
14		JP 06060422	04/03/1994	Japan			✓	
15		JP 07057300	03/03/1995	Japan (Abstract)			✓	
16		JP 55123646	24/09/1980	Japan				✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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	17	4,190,843	02/26/80	Spong			
	18	4,218,689	08/19/80	Bloom, et al.			
	19	4,219,826	08/26/80	Bloom, et al.			
	20	4,241,355	12/23/80	Bloom, et al.			
	21	4,242,689	12/30/80	Bloom, et al.			
	22	4,251,425	02/17/81	Ohara, et al.			
	23	4,271,211	06/02/81	Knepper			
	24	4,284,411	08/18/81	Noeff, et al.			
	25	4,286,957	09/01/81	Le Naour-Sene			
	26	4,315,269	02/09/82	Bloom, et al.			
	27	4,332,587	06/01/82	Kressner, et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	28	JP 55123646	24/09/1980	Japan				✓
	29	JP 55160051	12/12/1980	Japan				✓
	30	JP 56031085	28/03/1981	Japan				✓
	31	JP 56070053	11/06/1981	Japan				✓
	32	JP 56070054	11/06/1981	Japan				✓

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
33	4,332,587	06/01/82	Kressner, et al.			
34	4,336,545	06/22/82	Howe, et al.			
35	4,377,389	03/22/83	Haddad, et al.			
36	4,405,706	09/20/83	Takahashi, et al.			
37	4,444,714	04/24/84	Martensen			
38	4,477,608	10/16/84	Babler, et al.			
39	4,464,487	08/07/84	Thomas, et al.			
40	4,501,876	02/26/85	Zahr			
41	4,640,690	02/03/87	Baumgartner, et al.			
42	4,650,823	03/17/87	Krishnan, et al.			
43	4,689,086	08/25/87	Naumann, et al.			

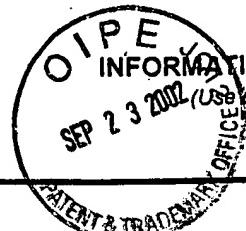
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
44	JP 57150152	18/09/1982	Japan			✓	
45	JP 57151644	18/09/1982	Japan				✓
46	JP 57192458	26/11/1982	Japan				✓
47	JP 558174438	13/10/1983	Japan				✓
48	JP 58218055	19/12/1983	Japan			✓	

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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49	4,709,363	11/24/87	Dirks, et al.			
50	4,719,615	01/12/88	Feyrer, et al.			
51	4,722,595	02/02/88	Siol			
52	4,732,570	03/22/88	Baumgartner, et al.			
53	4,812,141	03/14/89	Baumgartner, et al.			
54	4,812,142	03/14/89	Brodmann			
55	4,889,756	12/26/89	Barzynski, et al.			
56	4,948,715	08/14/90	Hulme-Lowe, et al.			
57	4,983,648	01/08/91	Laughner, et al.			
58	4,998,239	03/05/91	Strandjord, et al.			
59	5,116,547	05/26/92	Tsukahara, et al.			

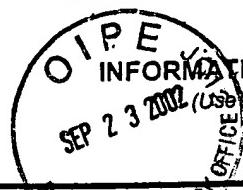
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						YES	NO
60	JP 59045195	13/03/1984	Japan				✓
61	JP 59228712	22/12/1984	Japan				✓
62	JP 60194720	25/12/1985	Japan			✓	
63	JP 6145437	05/05/1986	Japan			✓	
64	JP 62168119	24/07/1987	Japan				✓

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	65	5,190,800	03/02/93	Yamada, et al.			
	66	5,240,464	08/31/93	Kluger, et al.			
	67	5,307,229	04/26/94	Sata			
	68	5,453,100	09/26/95	Sieloff			
	69	5,491,029	02/13/96	Evans, et al.			
	70	5,640,382	06/17/97	Forczak, et al.			
	71	5,648,197	07/15/97	Kuroda			
	72	5,671,205	09/23/97	Ledieu			
	73	5,688,447	11/18/97	Hong			
	74	5,800,573	09/01/98	Michaelis, et al.			
	75	5,804,124	09/08/98	Sata			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
	76	GB 963,779	15/07/1964	United Kingdom			✓
	77	GB 1,195,078	17/06/1970	United Kingdom			✓
	78	GB 1,502,237	22/02/1978	United Kingdom			✓
	79	GB 1,577,548	22/10/1980	United Kingdom			✓
	80	GB 1,595,426	12/08/1981	United Kingdom			✓

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81	5,840,395	11/24/98	Sawada, et al.			
82	5,894,069	04/13/99	Wen, et al.			
83	5,958,087	09/28/99	Liao, et al.			
84	6,022,944	02/08/00	Weaver, et al.			
85	6,045,888	04/04/00	Chan, et al.			
86	6,099,930	08/08/00	Cyr, et al.			
87	6,214,433 B1	04/10/01	Tronche, et al.			
88	6,226,109 B1	05/01/01	Tompkin, et al.			
89	6,245,118 B1	06/12/01	Shakhnovich			
90	6,280,808 B1	08/28/01	Fields, et al.			

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						YES	NO
91	GB 2,005,457 A	19/04/79	United Kingdom			✓	
92	EP 0004268	02/06/1981	European				✓
93	EP 0101667	16/10/1984	European				✓
94	EP 0212411 B1	04/03/1987	European			✓	
95	EP 0215322 B1	25/03/1987	European			✓	

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							YES	NO
		JP 7262606	1995/10/13	JAPAN				✓
		JP 7057300	1995/03/03	JAPAN				✓
		JP 9288847	1997/11/04	JAPAN				✓
		JP 9180261	1998/10/13	JAPAN				✓
		JP 11025518	1999/01/29	JAPAN				✓

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						YES	NO
	JP 60194720	1985/12/25	JAPAN			✓	
	JP 6145437	1986/05/05	JAPAN			✓	
	JP 58218055	1983/12/19	JAPAN			✓	
	JP 57150152	1982/09/18	JAPAN			✓	
	JP 6341102	1987/12/25	JAPAN			✓	

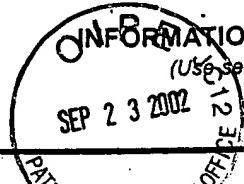
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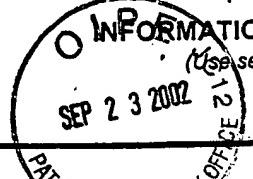
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
96		EP 1069556 A1	17/01/2001	European			✓	
97		EP 0408271 A2	16/01/1991	European			✓	
98		WO 99/18571	15/04/1999	International			✓	
99		WO 80/00708	17/04/1980	International			✓	
100		WO 84/02794	19/07/1984	International			✓	

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U.S. Patent Application Serial No. 09/682,212, filed August 7, 2001, "Colored Digital Versatile Disks"; Attorney Docket No. 08C07490

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